

# Process Validation In Pharmaceutical Industries

Babita Negi<sup>1\*</sup>, Kapil Kalra<sup>2</sup>, Vandana Pokhriyal<sup>3</sup>, Jyoti Maithani Kalra<sup>4</sup>

<sup>1</sup>Alpine College of Management and Technology

<sup>2</sup>Alpine College of Management and Technology

<sup>3</sup>Alpine College of Management and Technology

<sup>4</sup>School of Pharmaceutical Sciences, Himgiri Zee University, Dehradun

\*Corresponding Author: Babita Negi

<sup>1</sup>Alpine College of Management and Technology

Doi: 10.47750/pnr.2022.13.508.432

## Abstract

The purpose for this review article is to present an introduction and general outline on process validation of pharmaceutical manufacturing process with special reference to the necessities specified by the US Food and drug administration (FDA). Validation is the specialty of planning and rehearsing the planned strides close by with the documentation. Quality is dependably a basic essential when we think about any product. In this way, drugs should be made to the greatest quality. Final result testing without anyone else doesn't ensure the quality of the product. Quality assurance method should be utilized to incorporate the quality into the product at each step and not only tried for toward the end. In drug industry, process validation plays out this assignment to incorporate the quality into the product that as per ISO 9000:2000, it had proven to be a significant tool for quality administration of pharmaceuticals.

**Keywords** - Process validation, quality, GMP, Protocol

## INTRODUCTION

Validation is the way toward developing narrative proof showing that a framework, process, or development did in testing and a while later creation keeps up the sought after degree of consistence at all stages. In drug business, basic despite last testing and consistence of things, it is additionally guaranteed that the procedure will reliably deliver the normal outcomes.<sup>[1]</sup>

### Basic principles of Validation

- Lay out that the capacity of working gear inside required parameters.
- Exhibit that, checking, controlling and estimating equipment and instrumentation are fit for working inside the parameters endorsed for the process equipment.
- Screen the approved interaction during routine activity. Depending on the situation prequalify and recertify the equipment.

An extensive verity of plans, cycles, and activities should be approved; the field of validation is classified in to various subdivisions including the followings.

- Equipment validation
- Facilities validation
- HVAC validation
- Analytical validation
- Cleaning validation
- Process validation
- Computer system validation
- Packaging validation

Essentially, the action of qualifying framework and equipment is classified in to various subsections including the accompanying:

- Design qualification (DQ)
- Component qualification (CQ)
- Installation qualification (IQ)
- Operational qualification (OQ)
- Performance qualification (PQ)

## PHASES OF PROCESS VALIDATION



**FIGURE 1**

## PLANNING OF VALIDATION

All validation activities should be scheduled. The key basics of a validation programme should be evidently define and documented in a validation master plan (VMP) or equivalent documents.

### Validation master plan <sup>[8,9,10]</sup>

It is imperative to make summarized record that will depict an entire an undertaking. This will normally incorporate the capability parts of venture. The approval ground breaking strategy ought to give a diagram of the whole approval task, its authorized structure, its substance and arranging. Its primary component being the rundown /stock of the things to be approval and the arranging schedule.

S. NO	SUBJECT
1.0	Protocol preparation and approval
2.0	Objectives
3.0	Scope
4.0	Validation team
5.0	Responsibility
6.0	Batch under process validation
7.0	Training need
8.0	Equipment summary
9.0	Batch details
10.0	Approved vendor list
11.0	Reference specification
12.0	Process flow diagram
13.0	Sampling plan
14.0	Sampling procedure
15.0	Brief of manufacturing process
16.0	In process check and abbreviation
17.0	Intermediate and finished product specification
18.0	Deviation and change control
19.0	Batch output detail
20.0	Summary report
21.0	Attachment
22.0	Abbreviations

### Type of process validation <sup>[11]</sup>

Validation can be prospective, concurrent, and retrospective or revalidation, it depends on when it performed.

#### Prospective validation

It is done amid advancement organize by mean of hazard investigation of the creation procedure, which is separated in to step, these means are than assessed based on past understanding to decide if they promote basic circumstances.

Basic circumstances are recognized, hazards assessment is finished. All the potential causes are researched and surveyed for like hood and broaden, the preliminary designs are drawn up and needs are set.

The general appraisal is made by performing and assessing every one of the preliminaries. On the off chance that toward the end and outcomes are worthy, the procedure is tasteful, if the procedure is inadmissible is ought to be adjusted and enhance until the point when an approval practice ends up being them to be palatable. This type of approval is fundamental so as to restrain the danger of blunder happening on the generation scale.

## CONCURRENT VALIDATION

It is completed amid ordinary generation. This technique is viable just if the improvement organize has brought about an appropriate comprehension of the basics of the procedure. The initial three generation scale group must be checked as exhaustively as could be expected under the circumstances. The nature and details of consequent in process and last tests depend on the assessment of the consequence of such observing

## RETROSPECTIVE VALIDATION

It include the examination of past experience of creation on the suspicion the arrangement, techniques and gear unaltered ; such as experience and the aftereffects of in- process and last control test are then assessed recorded challenge and disappointment under way are broken down to decide the cutoff points of process parameter.

## REVALIDATION

It is expected to guarantee that adjustments of cycle or in the process climate, whether purposeful or accidental don't unfavorably influence process trademark and item quality. Revalidation divided in to two categories:

- 1. Revalidation after change having moves on product quality.**
- 2. Periodic revalidation carried out at scheduled intervals**

### Revalidation after change.

Revalidation must be performed on presentation of any progression influencing an assembling and additional standard technique having a direction on the set up item execution qualities.

Such changes may incorporate those in beginning material, bundling material, fabricating forms gear, in – process controls producing regions, or emotionally supportive network (water, steam, and so forth,) each such change asked for the ought to be assessed by qualified approval gathering, which will choose whether it is sufficiently noteworthy to legitimize revalidation and, provided that is true its degree. Revalidation after changes in view of the presentation of the a few tests and exercises those utilized during the first approval, remembering tests for sub processes and the equipment concerned. A few common changes which require revalidation incorporates the accompanying:

- Changing in beginning material. Changes properties, for example, physical, thickness, consistency, molecule size dispersion, and crystal type and adjustment, of the active ingredients or excipients may influence the mechanical property of the material; as a result, they unfavorably influence the process of the product.
- Changes in building material, e.g. supplanting plastics by glass, may require changes in banding system and in this way influence item security.
- Changes in process, for example changes in time of blending, drying temperature and cooling system, may influence resulting process steps and product quality.
- Changes in equipment, including assessing instrument, may impact both the method and the thing; fix and backing work, for instance, the replacement of back fragment, may impact the procedure. Change in the creation region and emotionally supportive network, e.g the revision of assembling territories or potentially emotionally supportive networks, may bring about changes all the while. Unexpected changes and deviation might be seen during self - investigation or reviews or during the nonstop pattern examination of process data.

### Periodic revalidation

It is notable that procedure changes may happen bit by bit regardless of whether experience administrator work accurately as per build up techniques correspondingly; hardware may likewise cause continuous change. Thusly revalidation at booked circumstance is prudent regardless of whether no progressions have been internationally made.

The following points should be checked at the time of scheduled revalidation.

- Have any adjustment in ace recipe and strategy, clump estimation, and so on happened? Assuming this is case, has their effect on the item has been surveyed?
- Have alignment been made as per the set up program and time plan?
- Has preventive support been performed as per program and time plan?
- Have the standard working system been appropriately refreshed?
- Have the cleaning and cleanliness program been done?
- Have any progression been made in the expository control strategy

## BASIC CONCEPT OF PROCESS VALIDATION

Drug manufacturing process approval is most indispensable and parameters of cGMPs. The prerequisite of process approval shown up (QS) control. The objective of quality system is to solid making things that are good for their proposed use.<sup>[12]</sup> Process approval is a key component in guaranteeing that these standards and objectives are met. The procedure approval is institutionalization of the approval archives that must be submitted with the accommodation petition for advertising approval. The procedure approval is expect to help procedures in understanding quality administration framework (QMS) necessities concerning process approval and has general pertinence to assembling process. As indicated by FDA<sup>[13]</sup>, assurance of item quality is gotten from cautions and foundational thoughtfulness regarding various significance factors, including: determination of value process through in – process and final result testing<sup>[13]</sup>

The basic principle for validation.<sup>[14, 15, 16, 17]</sup>

## INSTALLATION QUALIFICATION (IQ)

Setting up by target conform that every single key part of the procedure hardware and auxiliary framework established hold fast to the procedures endorsed details and the suggestion of the provider of the gear are reasonably considered.

### (IQ) considerations are

- equipment configuration highlights (material of development clean capacity etc.
- Establishment condition (wiring security, usefulness, etc.)
- Calibration, preventative maintenance, cleaning plans.
- Security highlights.
- Supplier documentation prints, drawing and manuals.
- Software documented.
- Spare parts list.
- Ecological condition (like clean room prerequisite, temperature, and dampness).

## OPERATIONAL QUALIFICATION (OQ)

### OQ consideration includes:

- Process control limits (time, temperature, line speed, setup conditions, etc.)
- Software parameters.
- Raw material specifications
- Process operating procedures
- Material handling requirements.
- The utilization of measurably substantial methods, for example, screening tests to improve the process can be utilized during this stage.

## PERFORMANCE QUALIFICATION (PQ)

Setting up by target proves that the procedure foreseen conditions reliable create an item which meets every single foreordained.

### PQ consideration includes:

- Actual product and process parameters and procedure established in OQ.
- Acceptability of the product
- Assurance of process capability.
- Process repeatability, long term process stability.

## THE REGULATORY BASIS FOR PROCESS VALIDATION [18, 19, 20, 21]

The idea of process approval from its beginnings in the mid-1970s through the administrative related with current great assembling practice (cGMP) control and the application thereof different expository, quality confirmation , pilot plant , generation , and sterile items and strong measurement shapes considerations . in the mid-1990s the idea of preapproval assessment (PAI) was conceived and had as one of its essential precept the affirmation that endorsed approval conventions ad calendars were begins created and that thorough improvement , scale - up and bio batch and business clump approval information were require so as to accomplish a fruitful administrative (PAL) review. There are few vital explanations behind approving an item and additionally process. To begin with, makers are required by law to comply with (cGMP) directions. Second, great business direct that a producer maintains a strategic distance from the like hood of rejected or reviewed bunches. Third, approval guarantees item consistency reproducibility and quality. Although the first focal point of approval was coordinated towards physician endorsed drugs, the FDA modernization act of 1997 extended the offices power to review foundations fabricating over- the – counter (OTC) medication to guarantee consistence with c GMP. Once the idea of having the capacity to foresee process execution to meet client necessities developed, FDA administrative authorities set up that there was lawful reason for requiring process approval.

## PROCESS VALIDATION AND QUALITY ASSURANCE

The relationship of value affirmation and process approval goes well past the duty of any quality confirmation (QA) work. All things considered, it is reasonable to say that procedure approval is a QA instrument, since it sets up a quality standard for the particular process. Quality conformation in pharmaceutical organization encapsulates the push to guarantee that items have the quality, immaculateness, security and adequacy spoke to in the organization new drug application (NDA) filing. Albeit quality confirmation is generally assigned as a department capacity, it should likewise be a necessary piece of association's excises. At the point when process approval turns in to a general goal of the specialized and operational gathering inside an association, it progresses towards becoming the main thrust for quality model being developed work, designing exercises, quality confirmation, and generation. The quality confirmation related with the pharmaceutical advancement exertion incorporate the accompanying capacities:

- To guarantee that a legitimating detailing is assigned.
- To qualify the procedure that will be scaled up to generation measure bunches.
- To help the plan of the approval convention.
- To fabricate the bio clusters for the clinical program, which will end up being the question of the FDA preapproval leeway?

To work with creation and building to create and complete the capability program for generation hardware and offices/ process frameworks.

To work with creation building to create and complete the capability program for generation hardware and offices/ process frameworks.

- The strength program to be done.
- The testing of crude materials and completed item
- The improvement of discharge determination for the crude materials and completed item
- The testing of prepared material at certain predetermined stages.

Quality conformation is the exertion taken to guarantee consistence with government controls for the frameworks, offices, and work force required with assembling items. QA reviews will be much fluctuated in degree to accomplish this confirmation.

## CONCLUSION

It is fundamental, before acceptance of another drug, that an exact and dependable assessment for its success and safety for the future suggestion and target patient population is confirmed. This review frequently summarizes the qualities and techniques that it thinks about a reasonable components of process validation for the development of medications, including (APIs or medication substances), collectively mentioned to as drugs or products. The cGMP guideline needs that manufacturing processes be planned and controlled to guarantee that in-process resources and finished product experience decided quality necessities and do so consistently and dependably. Quality assurance procedures should be utilized to incorporate the quality into the product in each step and not simply checked toward the end. Process validation incorporates a grouping of exercises occurring over the lifecycle of the product and process. In general, pharmaceutical validation and process control provide a positive assurance of batch consistency and integrity of the product manufactured.

## REFERENCES

1. Validation definition and FDA. Regulatory agencies guidelines requirements, [https://en.wikipedia.org/wiki/validationdrug\\_manufacture](https://en.wikipedia.org/wiki/validationdrug_manufacture), accessed 27 Feb 2014
2. FDA (CDER), (CBER), (CVM), process validation : general principle and practices, guidance for industry, January 2011, page no. 3-4
3. John M. Validation overview & ICH validation document, tools of method validation and parameter of method validation.
4. Edward M. validation of solid dosage forms the FDA view, 1989; 15 (6-7): 1119-1133 [https:// www.tandfonline .com/](https://www.tandfonline.com/), accessed 27 Feb 2014,
5. Guideline of process validation of pharmaceutical dosage forms. Saudi food and drug authority, kingdom of Saudi Arabia, 2010; pageno.9-15.
6. Elsie Jatto, Augustine and O Okhanmafe; an overview of pharmaceutical validation and process control in drug development, tropical journal of pharmaceutical research, December 2002; 1(2): page no- 115 -122.
7. Guide to inspection of oral solid dosage forms pre/post approval issued for development and validation. Washington D.C US food and drug administration, 1994.
8. Rockville MD; guide to inspection of oral solid dosage form pre/post approval issue for D28.U.S. Food and drug administration, January 1994.
9. Health Canada/ health products and food branch inspectorate validation guidelines for pharmaceutical dosage form December, 2009
10. Guide to inspections of oral solid dosage forms pre/ post approval issue for development and validation; issue (1/94); January, 2010.
11. WHO expert committee on specification for pharmaceutical preparation – WHO technical report , 1996 series, no. 863 – thirty- fourth report (1996;200) pages.
12. Guidance for industry: process validation: general principles and practices. U.S department of health and human services, food and drug administration, center for drug evaluation and research (CDER) center for biological evaluation and research (CBER) center of veterinary medicine (CVM), January 2011.
13. Oechslein C. laser MS; process validation from view report of the FDA. Maas and peither AG – GMP publishing, log file No.3/February 2012
14. Guidance for industry on general principle and practices of process validation; US department of health and human services, food and drug administration, 2011; 7-9.
15. Validation guidelines of pharmaceutical dosage form; health products and food branch inspection, Canada December 2009;pageno.8-13
16. Requirement for the registration of pharmaceutical for human use. Geneva: ICH-QZA, 1995
17. Green JM.A Practical Guide to analytical method validation, anal. Chem. News and features 1996; 60: 305A -9A.
18. Herbert LA, Leon L, Joseph S.B pharmaceutical dosage forms –An overview. Int J pharm sci rev res 2010;4(2): 145-154
19. Jena S. Industrial Process Validation of solid dosage forms – an overview. Int J pharma Sci Rev Res 2010 Z; 4(2) : 145-145.

20. U.S Food and Drug Administration guideline on general principle of process validation. Rockville, MD; May, 1987. Page 6-7
21. Chapman K.G A history of validation in the United States, part I Pharma Tech 1991:15 (10) L: 82- 96.
22. Jeena s; Industrial Process Validation of solid dosage form – an overview. International Journal of Pharmaceutical Science 2010;4:145-154
23. Guidance For Industry Process Validation: Journal Principle And Practices U.S Department Of Health And Human Services Food And Drug Administrative Center For Drug Evaluation And Research (CDER) Center For Biological Evaluation And Research(CBER) Center For Veterinary Medicine(CVM) Revision 1
24. Kullayappa C, Naresh G, Muneer S, Hindustan Abdul Ahad 2014, Process Validation Of Terbinafine Hydrochloride Tablets And Blend, Pharmaresearch Library, Vol.2, Issue 6, Page-862
25. Nikita Patel, JenishVaghasiya, Parag Patel, Kanu Panchal 2013, Process Validation Of Nifedipine Tablet, Genesis Journals, Vol.1, Issue 2, Page – 25
26. Aaska M. Patel, Vandana B. Patel, Nishita S. Shah, Rohit K. Patel 2014, Process Validation Of Azithromycin Film Coated Tablets, American Journal of Pharmatech Research, Vol-4, Issue 3, Page 509
27. Dukandar AD, Tandel FB, Jha LL, Prajapati MP 2015, Process Validation Of Ibuprofen Film Coated Tablets, International Journal For Pharmaceutical Research Scholars (IJPRS), Vol-2, Issue 2, Page -169
28. Parixit Rohi tbhai Prajapati, Deepika Natu Rathod, Vishalkumar Shashikant Modi And TarasankarBasuri 2016, Process Validation Of Sertraline Hydrochloride 50 Mg Tablets, International Journal Of Pharmaceutical Chemistry. Vol. 6, Issue 9, Page- 209
29. Pravin Pandharmise, Abhijit Kulkarni, Shahzad Naiyar, Deepak Sharma, Anil Kamble 2011, Studies In Prospective Process Validation Of Gliclazide Tablet 80 Mg Dosage Formulation, International Journal Of Pharmtech Research. Vol.3, Issue 3, Page- 1515
30. Vandana B. Patell, Minaxi R. Rathwal And Kandarp Patel 2011, Studies In Prospective Process Validation Of Cimetidine Tablet Dosage Form, International Journal Of Research In Pharmaceutical And Biomedical Sciences. Vol.2, Issue 4, Page- 1823
31. Bhitre MJ, Ingale Av, Mene Ra2 2012, Implementation of Quality By Design To The Process Validation With Risk-Based Approach For Quality Assurance Of Salbutamol Sulphate Tablets, International Journal For Pharmaceutical Research Scholars. Vol. 2, Issue 2, Page- 175
32. Vishal Modi, Akash Dubey, Parixit Prajapati, TarashankarBasuri 2016, Process Validation On Zopiclone 7.5 Mg Film Coated Tablets, International Journal Of Innovative Pharmaceutical Sciences And Research. Vol. 4, Issue 9, Page-958
33. Maheshvari Pradeep, Sahu Deepak, Dashora Ashok, Garg Rahul, Aggarwal Rahul 2013, Process Validation Of Cetirizine Hydrochloride Tablets, International Research Of Journal Pharmaceutical And Biomedical Sciences. Vol. 2, Issue 4, Page No.-291
34. Patel HiranPopatbhai, Shrivastava Ak, Jindal Dinesh 2012, Process Validation Benazepril HCL 5 Mg Tablets, International Research Journal Of Pharmaceutical And Applied Sciences. Vol. 2, Issue 4, Page-1
35. D.J. Chavda, Dr. NK Patel, R.Sen 2016. Process Validation Of Tablet Dosage Form Containing Paracetamol, Codeine Phosphate, Doxylamine Succinate And Caffeine, (Scientific And Academica Editors Publication House(SAEP).Vol. 6, Issue 2, Page-97
36. Peeyush Yadav, HSN International, Haridwar, Uttarakhand, India 2015. Formulation and Process Validation of Diclofenac Sodium And Paracetamol Combination Tablet, International Journal Of Pharmaceutical Sciences And Research. Vol. 6, Issue 2, Page 792
37. RaveendranathThaduvai, Bodavula Samba Siva Rao, M. Jeybaskaran 2012. Process Validation of Pantaprazole 40 Mg Tablets, The Pharma Innovation. Vol. 1, Issue 5, Page- 47
38. Vinod J, Yogalaksmi K, Vidyasagar V 2013. Process Validation Of Atorvastatin Tablets 10mg, Indo American Journal Of Pharmaceutical Research, Vol. Issue 12. Page – 1438
39. L. Jebalsy Lalitha, A. Chenthinathan And V Vidyasagar 2014. Process Validation Of Clopidogrel BiSulphate 75 Mg Tablets Scholars Research Library. Vol. 6, Issue 3. Page No- 72
40. Bodavula Samba Siva Rao, K. Raveendra Babu, D Praveen Kumar, (2011), Process Validation of Fluconazole, International Journal of Pharma and Bio Sciences, Vol. 2, Issue 4, Page- 461